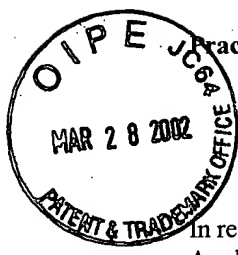


03-29-02

gp 3643
EV 073869407 US



Practitioner's Docket No. PLI/0007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Craig, Peter C.
Application No.: 09/918,697
Filed: 07/30/2001
For: Portable Pen for Shipping Livestock by Container, Ship, Rail and Truck

Group No.: 3643
Examiner: Price, Richard

#5/IDS
4-18-02

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GROUP 3600

Assistant Commissioner for Patents
Washington, D.C. 20231

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Date of Deposit 03/28/2002

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Nicolas S. Gold

Signature of person mailing paper or fee



Practitioner's Docket

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Craig, Peter C.

Application No.: 09/918,697

Group No.: 3643

Filed: July 30, 2001

Examiner: Price, Richard

For: Portable Pen for Shipping Livestock by Container, Ship, Rail and Truck

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Washington, D.C. 20231

GROUP 3600

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is *mandatory*;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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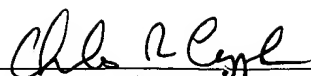
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* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Date: March 28, 2002

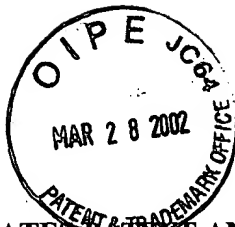
Reg. No.: 41694
Tel. No.: 1-510-832-4111
Customer No.: 498



Signature of Practitioner

Charles R. Cypher
Law Offices of James R. Cypher
405 14th Street, Suite 1607
Oakland, CA 94612-2777

Practitioner's Docket No. PLI/0007



PATENT

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Washington, D.C. 20231

GROUP 30

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
4. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is *mandatory*;
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Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.